

Attorney Docket No. 11520US03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:) Certificate of Mailing
Daniel J. Marchok Richard C. Younce Peter J. W. Melsa	 I hereby certify that this paper is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination,
Serial No.: 09/659,194	Customer Service Center, P.O. Box 1450, Alexandria, VA 22313-1450, on this date:
Filed: September 11, 2000	Aug. 22, 2063
For: MULTI-POINT OFDM/DMT DIGITAL COMMUNICATIONS SYSTEM INCLUDING REMOTE SERVICE UNIT WITH	Ronald E. Larson Registration No. 24,478 Agent for Applicants
IMPROVED TRANSMITTER ARCHITECTURE) RECEIVED
Group Art Unit: 2663	SEP 1 7 2003
Examiner: Not yet assigned	Technology Center 2600

REQUEST FOR A CORRECTED FILING RECEIPT

Commissioner for Patents Office of Initial Patent Examination Customer Service Center P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that the filing receipt for the above-identified case be corrected as follows:

In the section entitled "Continuing Data as Claimed by Applicant", please delete "WHICH IS A CON OF" and insert --AND A CON OF--.

A copy of the Official Filing Receipt reflecting all changes in red is attached hereto as Exhibit A. Also enclosed is a copy of the first page of the specification and a copy of the Preliminary Amendment as filed September 11, 2000.

Correction of this error by issuing a corrected filing receipt is respectfully requested.

In the event that any additional fees are required for the filing of this response, the

Commissioner is hereby authorized to charge any fees which may be required as a result of filing this paper to Deposit Account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd.

Respectfully submitted,

MCANDREWS, HELD & MALLOY, LTD.

Ву

Dated: #U4 22 2003

Ronald E. Larson

Registration No. 24,478

Agent for Applicants

34th Floor

500 West Madison

Chicago, Illinois 60661

(312) 775-8000

-2-



United States Patent and Trademark Office

Page 1 of 4

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
00/050 404	00/11/2000	2662	1082	1152011002	10	20	1

09/659,194

09/11/2000

Robert B Polit McAndrews Held & Malloy Ltd 500 W Madison **Suite 3400** Chicago, IL 60661



CORRECTED FILING RECEIPT

Date Mailed: 02/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Daniel J. Marchok, Buchanan, MI; Richard C. Younce, Wakarush, IN; Peter J. W. Melsa, McKinney, TX;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 08/700,779 08/22/1996 PAT 5,790,514 -WHICHHS A CON OF 08/772,321 12/23/1996 PAT 6,118,758

AND A CON OF

Foreign Applications

If Required, Foreign Filing License Granted 11/03/2000

Title

Multi-point ofdm/dmt digital communications system including remote service unit with improved transmitter architecture

Preliminary Class

370

Data entry by : LOVER, ANTHONY

Team : OIPE

Date: 02/12/2001

A LEGISK KERIS BELIG KUNS SENEK KERI KERI BELIK BEKAN BISAN BISAN KUNSK KERIK BIRIK BIRIK BERIK KERIK BERIK BERI

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Case No. 11520US03

PATENT

In the Application of:

Daniel J. Marchok et al.

Serial No.:

Filed: September 11, 2000

For: MULTI-POINT OFDM/DMT DIGITAL

COMMUNICATIONS SYSTEM

INCLUDING REMOTE SERVICE UNIT WITH IMPROVED TRANSMITTER

ARCHITECTURE

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all correspondence referenced herein are being deposited with the United States Postal Service as express mail in an envelope addressed to the Asst. Commissioner for Patents, United States Patent and Trademark Office, BOX APPLICATION, Washington DC 20231 on September 11, 2000 as No.

EL282691929US.

Ronald E. Larson Reg. No. 24,478

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, DC 20231

Dear Sir:

Kindly amend the above-identified application as follows:

In the Specification:

Page 1, in the Cross-Reference To Related Applications, line 7, after "August 22, 1996," kindly insert – and a continuation of U.S.S.N. 08/772,321, filed December 23, 1996.

In the Drawings:

Kindly enter the enclosed formal drawings for Figures 1-18.

In the Claims:

Kindly cancel claims 2-29 leaving only claim 1 as a pending claim.

Date: September 11, 2000

Respectfully submitted,

Ronald E. Larson Reg. No. 24,478

Attorney for Applicant

McAndrews, Held & Malloy, Ltd. 500 W. Madison, 34th Floor Chicago, IL 60661 312.775.8000

TITLE OF THE INVENTION

MULTI-POINT OFDM/DMT DIGITAL COMMUNICATIONS SYSTEM INCLUDING REMOTE SERVICE UNIT WITH IMPROVED TRANSMITTER ARCHITECTURE

CROSS-REFERENCE TO RELATED APPLICATIONS

The present application is a continuation-in-part application of U.S.S.N. 08/700,779, filed August 22, 1996.

STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

Not Applicable

BACKGROUND OF THE INVENTION

The present invention is directed to a remote service unit for use in an OFDM/DMT digital communications system. More particularly, the present invention is directed to an improved transmitter architecture for use in a remote service unit of a multi-point, OFDM/DMT digital communications system.

Multi-point communications systems having a primary site that is coupled for communication with a plurality of secondary sites are known. One such communications system type is a cable telephony system. Cable telephony systems transmit and receive telephone call communications over the same cable transmission media as used to receive cable television signals and other cable services.

One cable telephony system currently deployed and in commercial use is the Cablespan 2300 system available from Tellabs, Inc. The Cablespan 2300 system uses a head end unit that includes a primary transmitter and primary receiver disposed at a primary site. The head end unit transmits and receives





UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office: Address: COMMISSIONER OF PATENTS AND TRADEMARKS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.usplu.gov

CONFIRMATION NO. 9145

DID Data Stieet										
SERIAL NUMBE 09/659,194	€R	FILING OR 371(c) DATE 09/11/2000 RULE	O	370	GRO	OUP ART UNIT 2665		ATTORNEY DOCKET NO. 11520US03		
APPLICANTS										
Daniel J. Ma Richard C. \	Youn	ok, Buchanan, MI; ce, Wakarush, IN; a, McKinney, TX;				. •				
** CONTINUUNG F	ΔΤΔ	. ************************************	2							
** CONTINUING DATA ***********************************										
** FOREIGN APPLICATIONS ************************************										
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 11/03/2000										
Foreign Priority claimed	d	u _{yes} u _{no}								
35 USC 119 (a-d) cond met	ditions		ter	STATE OR COUNTRY		ETS WING	TOT.		INDEPENDENT CLAIMS	
		Allowance		MI		0	29		4	
Verified and Acknowledged	Exan	niner's Signature In	itials		·	Ĭ				
ADDRESS		,								
Robert B Polit	(
McAndrews Held &	& Ma	lloy Ltd								
500 W Madison		,								
Suite 3400										
Chicago ,IL 60661										
TITLE	•									
Multi-point ofdm/dmt digital communications system including remote service unit with improved transmitter architecture										
						☐ All Fees				
					☐ 1:16 Fees (Filing)					
	FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT						☐ 1.17 Fees (Processing Ext. of			
RECEIVED					NT	time)				
1208	No for following:				1.18 Fees (Issue)					
					Other					
					☐ Credit					